



APPLICATION FORM - CORPORATE ACCOUNT

Guide to completing this Application Form

You must complete all of the following sections if you want to open a Corporate Account with Olive Financial Markets Pty Ltd (“OliveFX”):

1. Legal Entity Identifier
2. Account Type
3. Company Information
4. Director(s)
5. Beneficial Owner(s)
6. Investment Experience
7. Declarations
8. Deed of Guarantee and Indemnity – Margin FX Contracts and CFD Trading
9. Authorised Persons List

Please contact Compliance Officer at compliance@olivefx.com if you have any questions.

Once completed, please submit the Application Form to newaccounts@olivefx.com

SECTION 1: LEGAL ENTITY IDENTIFIER (LEI)

Do you have a LEI? Yes No

If yes, please provide your LEI: _____

If no, would you like OliveFX to assist you with applying for a LEI? Yes No

For our assistance to apply for a LEI, please complete the **LEI Application Form**.

SECTION 2: COMPANY INFORMATION (“COMPANY”)

2.01 | COMPANY DETAILS

Name of Company: _____

Principal Place of Business Address (not a P.O. Box): _____ Registered Address (not a P.O. Box): _____

State: _____ Postcode: _____ State: _____ Postcode: _____

Country of Incorporation: _____

Company Identification Number _____

Is the Company incorporated in Australia and a sole tax resident of Australia? Yes No

2.02 | NOMINATED CONTACT DETAILS

Contact Name: _____ Secondary Contact Number: _____

Main Contact Number: _____ Position: _____

Email Address: _____

2.03 | FINANCIAL DETAILS

Approximate funds available for trading with OliveFX: A\$: _____

Source of Funds: Company Funds Other (please specify)

Other: _____

Purpose of Trading Account/s: Short Medium Term Speculation Hedging Purposes
 Long Term Growth Diversified Investment

SECTION 3: DIRECTOR(S)

DIRECTOR 1

Full Name: _____

Approximate gross income from investment in the last financial year: A\$ _____

DIRECTOR 2

Full Name: _____

Approximate gross income from investment in the last financial year: A\$ _____

DIRECTOR 3

Full Name: _____

Approximate gross income from investment in the last financial year: A\$ _____

DIRECTOR 4

Full Name: _____

Approximate gross income from investment in the last financial year: A\$ _____

DIRECTOR 5

Full Name: _____

Approximate gross income from investment in the last financial year: A\$ _____

DIRECTOR 6

Full Name: _____

Approximate gross income from investment in the last financial year: A\$ _____

SECTION 4: BENEFICIAL OWNER(S)*

*This section is to be completed by all companies that are **not** Australian Publicly Listed companies, majority owned by an Australian Public Listed company or regulated companies – a company whose activities are subject to the oversight of a Commonwealth, State or Territory statutory regulator, such as an Australian Financial Services Licensee. Please provide details of **all individuals** who are beneficial owners through one or more shareholdings of more than 25% of the entity's issued capital. Please also include the details of the individuals who directly or indirectly control the company – this includes exercising control through the capacity to determine decisions about financial or operating policies; or by means of trusts, agreements, arrangements, understanding & practices; voting rights of 25% or more; or power of veto.

Please complete a separate Individual Personal Account Application Form for each individual.

If there are more Beneficial Owners, please provide details separately.

BENEFICIAL OWNER 1

Full Name: _____

Role: _____

BENEFICIAL OWNER 2

Full Name: _____

Role: _____

SECTION 5: INVESTMENT EXPERIENCE

1. Has the Company or its director/s traded CFDs, Margin FX or other leveraged products before?
(for Margin FX and CFD Trading Account only) Yes No
2. Has any of the Company's director/s been previously bankrupt? Yes No

If 'Yes', please provide details: _____

SECTION 6: DECLARATIONS

We/I, for and on behalf of the Company, have received and understood the Financial Services Guide, Client Agreement and the Product Disclosure Statement, and any other disclosure documents provided to us/me (including, if applicable, the Managed Discretionary Account Contract).

We/I understand the nature and risks associated with trading Margin FX, CFDs and/or securities (and, if applicable, in utilising the managed discretionary account services provided).

I acknowledge that leverage can magnify gains as well as losses. I understand that leverage products will be offered to me up to 500:1 (or as agreed otherwise between me and OliveFX).

I acknowledge that I may fund my account in my nominated Base Currency (which may be permitted by Olive from time to time) but that I may be exposed to foreign exchange risk if it is not in Australian dollars.

We/I have also read, understood and consent to the Privacy Policy, including any offshore disclosure of our/my personal information specified therein.

We/I confirm that we/I will notify OliveFX immediately of any changes to the information provided, including, but not limited to, any change in the controlling parties or beneficial ownership.

We/I confirm that we/I will not supply, show or make available or permit to be supplied, shown or made available any market data received from OliveFX to any third party in any manner. We/I will not use any data from the service to establish, maintain or provide, or assist in providing a market for trading in securities or other financial instruments which is not authorised by law.

We/I confirm that each of the persons whose names and specimen signatures appear in the **Authorised Persons List** (the 'Authorised Signatories') shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of the Account(s), including (but without limitation) the Application Form and any document creating, perfecting or relating to any mortgage, charge or encumbrance over the Company's assets and to give any oral or written instructions to OliveFX with respect to the Account(s) including (but without limitation) instructions to effect or otherwise enter into transactions with or on behalf of the Company.

We/I confirm that all funds that are used to trade with OliveFX are the Company's own funds and are not considered Client Money as that term is defined by the Corporations Act 2001 (Cth).

If relevant, we/I consent to OliveFX obtaining from a credit reporting agency a consumer and/or commercial credit report about us/me or the Company in connection with this Application Form.

I (customer), hereby declare, that I have been explicitly informed of my rights under the Privacy Act 1988 (Cth) and provide my explicit consent for Olive Financial Markets Pty Ltd to check my identity information against official record holders of such information.

We/I consent to OliveFX verifying the identity of individuals associated with the Company, such as the officeholders and beneficial owners. OliveFX may disclose personal information such as the names, dates of birth, and addresses or other information that has been provided to a credit reporting agency or identity verification service to obtain an assessment of whether that personal information matches information held by them or other identity verification sources. We/I hereby give our/my full and informed consent to OliveFX on behalf of the Company to provide this personal information to a credit reporting agency or identity verification service for the purposes of undertaking electronic identity verification.

We/I confirm we/I are/am aged 18 years or over and we/I undertake to notify OliveFX if there is any change to the Company's status such that any one or more of the statements herein becomes untrue.

Signed for and on behalf of the Company in accordance with section 127 of the Corporations Act 2001:

Signature (Director 1): _____ **Date:** _____

Signature (Director 2/Company Secretary): _____ **Date:** _____

SECTION 7: DEED OF GUARANTEE AND INDEMNITY IN FAVOUR OF OLIVE FINANCIAL MARKETS PTY LTD (FOR MARGIN FX CONTRACTS AND CFD TRADING ONLY)

***Please complete if you intend to open an account for Margin FX Contracts and CFD Trading**

7.01 | GUARANTEE IN FAVOUR OF

OliveFX Pty Ltd (ABN 46 145 551 739), a company incorporated and registered in Australia, the registered address of which is Suite 1613, 87-89 Liverpool Street, Sydney NSW 2000 ('OliveFX').

7.02 | GUARANTEE FROM

The person(s) named in Section 8.05 below of this Deed of Guarantee and Indemnity (the 'Guarantor(s)').

7.03 | BACKGROUND

(a) The Company identified and named in Section 3 of the Application Form (the 'Company') has opened an account with OliveFX ('Account') in order to trade Contracts and Products as defined in and pursuant to the terms and conditions of the OliveFX Client Agreement ('Client Agreement').

(b) When the Company enters into such Contracts and Products it will incur liabilities and obligations to OliveFX pursuant to the terms of the Client Agreement.

(c) OliveFX has agreed to allow the Company to open the Account on the condition that the Guarantor(s) agrees to guarantee the due performance of the Company's obligations arising under the Client Agreement and the due payment of any amounts owed to OliveFX by the Company in accordance with the terms of this Deed.

The Guarantor(s) agrees as follows:

1. I/we unconditionally and irrevocably guarantee the due and prompt payment of all monies and liabilities that become due and payable by the Company under the Client Agreement and the due and punctual performance and observance of all other obligations of the Company under the Client Agreement. If the Company fails, for any reason, to pay any amount guaranteed above when due, I/we shall, as principal obligor, pay that amount to OliveFX on receiving

4. Any receipt from any person other than me/us will reduce the outstanding balance only to the extent of the amount received.

5. Before enforcing this Deed, OliveFX shall not be obliged to take any action or obtain any judgment, nor make or file any claim in the bankruptcy, dissolution or winding up of the Company.

6. I/we agree that in any litigation relating to this Deed, the aforesaid obligations or any security therefore, I/we shall waive the right to

its first written demand and I/we further agree to indemnify OliveFX and keep indemnified OliveFX against all loss and damage incurred by OliveFX by reason of the failure of the Company to fulfil all its obligations under the Client Agreement.

2. A certificate in writing signed by OliveFX or on OliveFX' behalf stating the amount at any particular time due and payable by me/ us hereunder shall be conclusive evidence of such payment obligation, save in the case of manifest error by OliveFX.

3. My/our liability under this Deed will only be discharged by the receipt by OliveFX of payment of monies owed under this Deed in full. It will not be discharged by any other action, omission or fact and therefore shall not be affected by:

- 3.1. OliveFX giving time or any other indulgence or forbearance to the Company;
- 3.2. the winding-up or re-organisation of the Company;
- 3.3. the Company acting or purporting to act beyond the power conferred upon it by its Replaceable Rules, Constitution or Memorandum and Articles of Association (as applicable) or without the power or authority of its Board of Directors or otherwise by reason of any want of power to enter into any Contracts and Products with OliveFX, or
- 3.4. any amendment from time to time to the Client Agreement according to its terms and tenor or to the OliveFX Product Disclosure Statement.

interpose any defence based upon any statute of limitations or any claim of laches or set-off or counter-claim of any nature.

7. I/we acknowledge that I/we have not relied on any warranty or representation (whether oral or otherwise) made by or on behalf of OliveFX to induce me/us to enter this Deed and that I/we have made and will continue to make, without reliance on OliveFX, my/our own independent investigation of the financial condition and affairs of the Company and assessment of the creditworthiness of the Company and further acknowledge that OliveFX has no present or future duty or responsibility to provide me/us with any information relating to the financial condition or affairs of the Company.

8. No failure or delay by OliveFX in exercising any right or remedy shall operate as a waiver thereof nor shall any single or partial exercise of waiver of any right or remedy produce its further exercise or the exercise of any other right or remedy.

9. I/we agree to provide OliveFX with such information and copies of such documents as are reasonably requested by OliveFX for the purposes of OliveFX determining whether to enter into, or to continue to rely on, this Deed including, without limitation, evidence showing the Guarantor does in fact have sufficient funds with which to guarantee the obligations of the Client under this Deed.

10. I/we acknowledge that where there is more than one Guarantor named in this Deed, my/our liability is joint and joint and several.

This Deed shall be construed and interpreted according to the laws of the State of New South Wales and the Courts of competent jurisdiction in the State of New South Wales will have exclusive jurisdiction to hear and determine any dispute arising out of the present Deed except that OliveFX also has the right to pursue its rights under this Deed in any other jurisdiction.

7.05 | THE GUARANTOR(S)

THIS DEED IS SIGNED BY THE GUARANTOR 1

Name of Guarantor: _____

Address of Guarantor (not a PO Box): _____

_____ State: _____ Postcode: _____

Signature of Guarantor: _____ Date: _____

WITNESS (IN THE PRESENCE OF):

Name of Witness: _____

Address of Witness (not a PO Box): _____

_____ State: _____ Postcode: _____

Signature of Witness: _____ Date: _____

THIS DEED IS SIGNED BY THE GUARANTOR 2

Name of Guarantor: _____

Address of Guarantor (not a PO Box): _____

_____ State: _____ Postcode: _____
_____ Date: _____

Signature of Guarantor: _____

WITNESS (IN THE PRESENCE OF):

Name of Witness: _____

Address of Witness (not a PO Box): _____

_____ State: _____ Postcode: _____

_____ Date: _____

Signature of Witness: _____

SECTION 9: AUTHORISED PERSONS LIST

Authorised Signatories for (Name of Company): _____

As at (Date): _____

NAME	DATE OF BIRTH (DD/MM/YYYY)	SIGNATURE

Signature: _____

Name of Director 1: _____

Signature: _____

Name of Director 2/Company Secretary: _____