



APPLICATION FORM – INDIVIDUAL PERSONAL ACCOUNT

Guide to completing this Application Form

You must complete all of the following sections if you want to open an Individual Personal Account with Olive Financial Markets Pty Ltd ("OliveFX"):

1. Account type
2. Personal information
3. Supporting documentation
4. Declarations

Please contact Compliance Officer at compliance@olivefx.com if you have any questions.

Once completed, please submit the Application Form to newaccounts@olivefx.com

SECTION 1: PERSONAL INFORMATION

1.01| PERSONAL DETAILS AND CONTACT DETAILS

Title (Mr/Mrs/Ms/Other): _____

First Name: _____

Surname: _____

Date of Birth: _____

Residential Address (not a PO Box): _____

Suburb: _____ State: _____ Postcode: _____ Country: _____

Phone: _____ Mobile: _____

Email: _____

1.02| EMPLOYMENT AND FINANCIAL DETAILS

Employment Status: Employed Self-employed

Retired Unemployed

Occupation: _____

Approximate annual gross income in the last financial year: \$A _____

Source of funds used for your trading with us: Employment Inheritance

Investment Other (please specify)

Other: _____

Purpose of Trading Account/s: Short Medium-Term Speculation Hedging Purpose

Long term growth Diversified Investment

1.03| POLITICALLY EXPOSED PERSONS* VERIFICATION

*An individual who holds a prominent public position or function in a government body or an international organisation or who is an immediate family member or a close associate of a politically exposed person

Are you a politically exposed person*?

Yes No

If yes, please contact the Compliance Officer.

SECTION 2: SUPPORTING DOCUMENTATION

The following supporting documentation should accompany your completed Application Form*:

Part 1: A copy of a current primary photographic identification document (*select one only*):

- Australian Driver's Licence
- Australian Passport
- Card issued under a State or Territory
- Foreign passport or similar travel document
- National Identity Card issued by a foreign government

Part 2: A copy of current secondary identification documents – *should only be selected if you do not own a document from Part 1.*

Select one from this section:

- Australian birth certificate
- Australian citizenship certificate
- Pension card issued by Department of Human Services

AND one from this section:

- A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to you and which contains your name and residential address
- A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by you to the Commonwealth (or by the Commonwealth to you), which contains your name and residential address. *Block out the TFN before scanning, copying or storing this document.*
- A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to address or to that person (the document must contain your name and residential address)
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* Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

*We note that we may electronically certify your documentation and that if this process fails, you will need to provide a certified copy of the documentation to us in hard copy.

WE ARE NOT ABLE TO OPEN AN ACCOUNT WITHOUT SUPPORTING DOCUMENTATION

SECTION 3: DECLARATIONS

I have received and understood the Financial Services Guide, Client Agreement and the Product Disclosure Statement, and any other disclosure documents provided to me (including, if applicable, the Managed Discretionary Account Contract).

I understand the nature and risks associated with trading Margin FX Contracts, CFDs and/or securities (and, if applicable, in utilising the managed discretionary account services provided).

I acknowledge that leverage can magnify gains as well as losses. I understand that leverage products will be offered to me up to 500:1 (or as agreed otherwise between me and OliveFX).

I acknowledge that I may fund my account in my nominated Base Currency (which may be permitted by Olive from time to time) but that I may be exposed to foreign exchange risk if it is not in Australian dollars.

I have also read, understood and consent to the Privacy Policy, including any offshore disclosure of my personal information specified therein.

I confirm that I will not supply, show or make available or permit to be supplied, shown or made available any market data received from OliveFX, to any third party in any manner. I will not use any data from the service to establish, maintain or provide, or assist in providing a market for trading in securities or other financial instruments which is not authorised by law.

If relevant, I consent to OliveFX obtaining from a credit reporting agency a consumer and/or commercial credit report about me in connection with this Account Application.

I (customer), hereby declare, that I have been explicitly informed of my rights under the Privacy Act 1988 (Cth) and provide my explicit consent for Olive Financial Markets Pty Ltd to check my identity information against official record holders of such information.

I consent to OliveFX verifying my identity by disclosing my personal information such as my name, date of birth, and address to a Credit Reporting Agency (CRA) or identity verification service to obtain an assessment of whether my personal information provided matches the information held by them or other identity verification sources. I hereby give my full and informed consent to OliveFX to provide my personal information to a CRA or identity verification service for the purposes of undertaking electronic identity verification.

I confirm I am aged 18 years or over and I undertake to notify OliveFX if there is any change to my status such that any one or more of the statements herein become untrue.

Signature:

Date:
